



## SIP / MICRO SIP AUTO DEBIT (ECS) FORM

Sr. No.

Broker/Distributor	Sub Broker Name & Code	Internal code for Broker/Emplo		Employee U No. (E		Time	Stamp No. (Fo	or office use	only)
ARN - Kindly affix your ARN stamp	Kindly affix your Sub Broker ARN stamp			AMFI Ident	ity Number				
I/We confirm that the EUIN box is intent "Upfront commission shall be paid directly									
SIGNATURE(S) Sole / First A	oplicant / Guardian / POA		Second A	pplicant / POA	4		Third Appli	cant / POA	
Transaction Charges (plea	se tick as applicable. R	efer to KIM)							
☐ I AM A FIRST TIME INVESTOR IN MU (₹ 150/- will be deducted as transaction		0/- and more)				IN MUTUAL FUI action charge per	NDS · Subscription of ₹	10,000/- and mo	ore)
Transaction charges will be applicable to the	ne investors for purchase transa	ction through Distrib	outor/ Agen	t. Please refer	to the detailed	d terms and cond	litions w.r.t. trans	action charges	given in KIM
I. Applicant's Details (M	andatory) KY	C is mandatory f	for ALL	nvestment	s irrespect	ive of the an	nount.		
Sole / First Applicant									
Guardian/POA Holder									
Existing Folio No.  Scheme Name		/		SIP (	OR MIC	RO SIP			
	] Standard   Direct		Gr				d Reinvestment		
2. Systematic Investmen	nt Plan (SIP) Paymer		,	11	· ·	on, ambiguity or di e above investr	, ,	onev will be d	ebited)
Account holder name as in Bank Account		re Burne Betun	<b>3</b> (Source	от раутнете п	om which th	e above mvesti	nene is made/m	oney will be d	conced)
Bank name									
Account No.					Branch Add	ress			
City		MICR Code M	1 A N	I D A	T O R	Y (This is a	9 Digit Number n	ext to your Che	que Number)
SIP Date Ist / 7th* / 14th /	21st / all four dates	SIP Date Fro	m	M M /	YYY	Y То М М	/ Y Y Y	**	
SIP Frequency (Please ✓) ☐ Monthly	/* / □ Quarter	ly First SIP Che	que No.			Dated	D / M N	1 / Y	YY
Account type (Please ✓) ☐ Savings	Current NRE	NRO FCNR	SIP In	stallment Amo	ount (₹)				
Drawn on (Bank / Branch Name)									
Note : The first Auto Debit should be atleast 30 days after the first SIP Transaction Date									
* Default Option will be applied in case of no	information, ambiguity or discrepa	псу.	**If no e	nd date is specif	fied SIP will cont	inue for 50 years.			
For NRI / FII Investors, please indicate Enclosed (please ✓ ) ☐ Account De					NR 🗌 Other	^s	Please spec	cify	
First / Sole Holder's Bank Account	Mandatory (any one):	Cheque leaf with 1	name pre-	printed B	ank Statemer	t Pass Bool	k Bank Cert	ificate OR	
Third Party's Bank Account	Mandatory documents r	equired:	KYC Ackr	owledgment	Letter	Third Party [	Declaration Forn	n	
Relationship with the Holder:	Parents/Grand-Parents/r	elated persons	Employer	on behalf of e	employee	Custodian or	n behalf of an FII	or a client	
3. Declaration, Authoris									
I/We hereby, declare that the particulars as is delayed or not effected at all for reaso									
Management Company (India) Private Li Company (India) Private Limited about a									
payable to him for the different compet existing Micro SIPs which together with									
w.r.t. transaction charges as applicable for EUIN: I/We hereby confirm that the El relationship manager/sales person of the	JIN box has been intentional								
distributor and the distributor has not ch	narged any advisory fees on th	nis transaction				, , ,	·		
This is to inform that I/We have register from my/our above-mentioned bank ac Manager to PineBridge Mutual Fund, ac further authorise PineBridge Investment	count number with your ban ing through their authorised	<li>k. I/We hereby authors service providers an</li>	orise Pinel nd represe	Bridge Investn ntatives carryi	nents Asset $^{N}$ ing this ECS $^{N}$	1anagement Co 1andate Form t	mpany (India) Pi o get it verified a	rivate Limited and executed.	<ul> <li>Investmen</li> <li>I/We hereby</li> </ul>
providers to debit my/our above bank a First Account Holder's Signature (As in E	count by ECS (Debit Clearin		IP paymen	ts.	-		Holder's Signatu	-	
This recoding Floride 3 Signature (AS III E	Secondary Secondary	a Account Holder's	orginatur e	, is in Dulik Ne	corus)	i iii a Account	. loider a signatt	are (ris iii Dullik	. necorus)
			FOR	OFFICE U	SE ONLY (	Not to be fil	led in by inve	estor)	
		Recorded	Recorded DD / MM / YYY				Recorded		
Dated D D / M M /	YYYY	on Credit					ру <u> </u>		

## TERMS AND CONDITIONS FOR SIP / MICRO SIP WITH AUTO DEBIT (ECS) FACILITY

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

## List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Agartala, Agra, Ahmedabad, Allahabad, Amritsar, Anand, Asansol, Aurangabad, Bangalore, Baroda, Belgaum, Bhavnagar, Bhilwara, Bhopal, Bhubaneshwar, Bijapur, Bikaner, Burdwan, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Cuttack, Davangeree, Dehradun, Dhanbad, Durgapur, Erode, Gadag, Gangtok, Gorakhpur, Gulbarga, Guwahati, Gwalior, Haldia, Hassan, Hubli, Hyderabad, Imphal, Indore, Jabalpur, Jaipur, Jaipur, Jammu, Jammagar, Jamshedpur, Jodhpur, Kakinada, Kanpur, Kolkata, Kota, Lucknow, Ludhiana, Madurai, Mandya, Mangalore, Mumbai, Mysore, Nagpur, Nasik, New Delhi, Nellore, Panjim, Patna, Pondicherry, Pune, Raichur, Raipur, Rajkot, Ranchi, Salem, Shillong, Shimla, Shimoga, Siliguri, Solapur, Surat, Tirunelveli, Tirupati, Tirupur, Trichur, Trichy, Trivendrum, Tumkur, Udaipur, Udupi, Varanasi, Vijayawada (also covers Guntur, Tenali & Mangalgiri), Vizag

- 1. SIP Auto Debit (ECS) is offered to investors having bank accounts in cities mentioned above.
- 2. The cities in the above list may be modified / updated / changed / removed at any time in future entirely at the discretion of PineBridge Investments Asset Management Company (India) Private Limited without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued and communication to that effect will be sent to the investor.
- 3. Investors to select the option of SIP or Micro SIP as applicable.
- 4. As a safety measure against fraudulent instructions, investors are advised to countersign any cancellation / corrections. Investors are advised to update the AMFI Identity Number of the Distributor's representative interacting with him/her.
- 5. KYC is mandatory for SIP applications.
- 6. Existing Investors are required to submit only the SIP / Micro SIP Auto Debit (ECS) Form indicating the existing Folio Number and investment details in the SIP section.
- 7. SIP Facility is available on specified dates of the month: viz. 1 st / 7 th / 14 th / 21 st / All four dates
- 8. During ongoing purchase your first SIP can be for any day of the month. Your second and subsequent SIPs installments are available only on the above specified dates of the month with a minimum gap of atleast 30 days between first and second SIP installment. In case the chosen date turns out to be a non-business day for the scheme; the SIP will be processed on the immediately following business day.
- 9. The SIP should be for a minimum of 6 months or quarters. In case "All four dates" are selected, SIP will be registered for a minimum of six months for each date.
- 10. If no end date is specified, SIP will be considered for 50 years.
- 11. If the SIP preferences are not indicated or incase of discrepancy or ambiguity, the default option shall be as under:
  - SIP Frequency Monthly, SIP date every 7th of the month.
- 12. The SIP cheque should be drawn on the same bank account which is to be registered for ECS (debit). The bank location provided for ECS (debit) should participate in local MICR clearing.
- 13. Please write the Cheque in favor of the specific scheme followed by name of the first holder or his PAN No. or existing Folio No. (For example: "Scheme Name First Holder's Name" or "Scheme Name First Holder's Folio No."). The investors should cross their cheques as "Account Payee Only".
- 14. If three consecutive SIP's fail, the SIP will automatically stand terminated and a communication to that effect will be sent to the investor.
- 15. Any request for a Modification / Cancellation of SIP shall be processed within 30 days on receipt of written request. All such requests, should be accompanied by:
  - a. A new SIP form duly filled in and carrying the revised / new SIP request details, or
  - b. A written and signed confirmation for discontinuing the existing SIP.
- 16. If you are a new investor, investing in a Scheme / Plan using the Systematic Investment Plan, please fill in all the sections in the Common Application Form. The investment details must be indicated in the SIP Auto Debit (ECS) Form.
- 17. Please furnish a self-attested/attested by broker copy of the PAN Card along with the Application Form / Transaction Form.
- 18. Incase of Micro SIP (SIPs upto ₹ 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 month period or in a financial year shall be referred as 'Micro SIP') please provide photo identification documents for all holders as listed below:
  - (a) Voter Identity Card, (b) Driving License, (c) Government / Defense identification card, (d) Passport, (e) Photo Ration Card, (f) Photo Debit Card, (g) Employee ID cards issued by companies registered with Registrar of Companies, (h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks /Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, (i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, (j) Senior Citizen / Freedom Fighter ID card issued by Government, (k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, (l) Permanent Retirement Account No. (PRAN) card issued to New Pension System (NPS) subscribers by Central Recordkeeping Agency (NSDL), (m) Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO

The Photo Identification document has to be current and valid and also either self attested or attested by an ARN holder.

The Photo Identification documents are in lieu of PAN card copy. However, investor may choose to provide self-attested copy of PAN as a proof.

Please note that all the subsequent SIP Installments may get rejected if the Investor does not meet the above requirements.

- 19. Investors will not hold PineBridge Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS / local holidays/incomplete or incorrect instructions from the applicant.
- 20. PineBridge Mutual Fund reserves the right not to represent any mandate for SIP auto debit facility if the registration could not be affected in time for reasons beyond control.
- 21. PineBridge Mutual Fund, its registrars and other service providers shall not be responsible and liable for any transaction failures, due to rejection of the transaction by your bank/branch or its refusal to register the SIP mandate.
- 22. Please refer the Key Information Memorandum and the Scheme Information Document for Applicable NAV, Redemptions, Risk Factors, Load and other information on the respective Scheme before investing.